

03/01/00

ISSUE CLASSIFICATION	
Class	Subclass

U.S. ~~UTILITY~~ Patent Application

①N8 O.I.P.E. PATENT DATE  
SCANNED *ML* Q.A. *CS*

APPLICATION NO. 09/516792	CONT/PRIOR D	CLASS 705	SUBCLASS 36	ART UNIT <del>2758</del>	EXAMINER [REDACTED] Karmi
------------------------------	-----------------	--------------	----------------	-----------------------------	------------------------------

**TITLE - APPLICANTS-**

Steven Wallman

Method and apparatus for trading securities or other instruments on behalf of customers

PTC-2040  
12/99

## ISSUING CLASSIFICATION

[illegible]

<input type="checkbox"/> <b>TERMINAL DISCLAIMER</b>	<b>DRAWINGS</b>		<b>CLAIMS ALLOWED</b>	
	Sheets Drwg.	Figs. Drwg.	Print Fig.	Total Claims
<input type="checkbox"/> The term of this patent subsequent to _____ (date) has been disclaimed.	_____ (Assistant Examiner) (Date)		<b>NOTICE OF ALLOWANCE MAILED</b>	
	_____ (Primary Examiner) (Date)		<b>ISSUE FEE</b>	
			Amount Due	Date Paid
<input type="checkbox"/> The terminal ____ months of this patent have been disclaimed.	_____ (Legal Instruments Examiner) (Date)		<b>ISSUE BATCH NUMBER</b>	
<b>WARNING:</b> The information disclosed herein may be restricted. Unauthorized disclosure may be prohibited by the United States Code Title 35, Sections 122, 181 and 368. Possession outside the U.S. Patent & Trademark Office is restricted to authorized employees and contractors only.				

Form **PTO-436A**  
(Rev. 6/99)

FILED WITH: ☐ DISK (CRF) ☐ FICHE ☐ CD-ROM  
(Attached in pocket on right inside flap)

(FACE)

Best Available Copy